1 2 3 4 5 6 7 8	KAREN P. HEWITT United States Attorney LAWRENCE A. CASPER Assistant U.S. Attorney California State Bar No. 235110 Federal Office Building 880 Front Street, Room 6293 San Diego, California 92101-8893 Telephone No.: (619) 557-7455 Facsimile No.: (619) 235-2757 Email: lawrence.casper@usdoj.gov Attorneys for Plaintiff United States of America		
9	UNITED STATES DISTRICT COURT		
10	SOUTHERN DISTRICT OF CALIFORNIA		
11	UNITED STATES OF AMERICA, Criminal Case No. 07CR3346-H		
12	Plaintiff,) District Judge: Hon. Marilyn L. Huff) Courtroom: 13 (Fifth Floor) v.) Date: February 19, 2008		
13	JOSE LUIS LOPEZ-LOPEZ,) Time: 2:00 p.m.)		
1415) UNITED STATES' RESPONSE AND Defendant.) OPPOSITION TO DEFENDANT'S MOTION) TO COMPEL DISCOVERY		
16)) TOGETHER WITH STATEMENT OF FACTS,) MEMORANDUM OF POINTS AND		
17 18) AUTHORITIES, AND GOVERNMENT'S) MOTIONS TO:) (1) COMPEL PRODUCTION OF		
19) RECIPROCAL DISCOVERY; AND) (2) COMPEL FINGERPRINT) EXEMPLARS.		
20)		
21 22	Plaintiff, the UNITED STATES OF AMERICA, by and through its counsel KAREN P.		
23	HEWITT, United States Attorney, and LAWRENCE A. CASPER, Assistant U.S. Attorney, hereby		
24	files its Response and Opposition to the motion filed by Defendant Jose Luis Lopez-Lopez		
25	("Defendant") to compel discovery and hereby files its: (1) Motion To Compel Production of		
26	Reciprocal Discovery; and (2) Motion To Compel Fingerprint Exemplars. This Response and		
27	Opposition and Motion To Compel Production of Reciprocal Discovery and Motion To Compel		
28	Fingerprint Exemplars is based upon the files and records of this case.		

I

STATEMENT OF FACTS

A. Statement of the Case

On December 12, 2007, a federal grand jury returned a one-count Indictment charging Defendant with attempted entry into the United States in violation of Title 8, United States Code § 1326 and further alleging that Defendant was removed from the United States subsequent to March 21, 2002. Defendant entered a not guilty plea before the Magistrate Judge on December 20, 2007.

B. Statement of Facts

On October 6, 2007, Border Patrol Agent Edwin Mendivil was assigned to operations in the vicinity of Tecate, California in an area approximately one mile west of the Tecate Port of Entry and approximately one mile north of the International Boundary between the United States and Mexico. This area is notorious for the presence of individuals attempting to further their illegal entry into the United States.

At approximately 7:30 a.m., Agent Mendivil responded to a report from Sector Communications concerning possible illegal alien activity in his area near "Tecate Peak" based upon a sensor activation. Upon arriving in the area, Agent Mendivil located some fresh footprints. He followed these footprints and, after approximately thirty minutes, he encountered four individuals. Agent Mendivil identified himself as a Border Patrol Agent and began questioning the individuals about their citizenship. Each individual, including the one later identified as Defendant Jose Luis Lopez-Lopez, admitted to being a Mexican citizen with no legal right to enter or remain in the United States.

Defendant was transported to the State Road 94 Brownfield Checkpoint for further processing. Upon entering information into the processing systems and conducting record checks, a criminal and immigration history was revealed for Defendant.

C. Defendant's Post-Arrest Statement

At approximately 11:12 a.m., Agent Mendivil began to interview Defendant. After confirming that Defendant was not under the influence of any drugs and after advising him that his

administrative rights no longer applied, Defendant was advised of his right to speak with a consulate officer from Mexico. Defendant declined.

Defendant was then advised of his Miranda rights and agreed to answer questions without the presence of an attorney during this videotaped interview. Defendant admitted that the criminal history was accurate and belonged to him. He also again admitted to being a citizen and national of Mexico with no legal immigration documents allowing him to be present in the United States. Further, he admitted that he was previously deported to Mexico and that he never applied or requested permission to re-enter the United States legally.

D. <u>Defendant's Criminal History</u>

Defendant, who is 28 years old, has already amassed a relatively significant criminal history:

3/21/2002(CASC, Los	HS 11350(A) - Possession of	32 mos jail
Angeles)	Narc Cont Sub.	
11/22/1999 CAMC Torrance)	14601.1(A) VC - Drive while	10 days jail/3 years probation
	Lic Suspended	
4/20/1998 (CASC, Los	459 PC - Burglary	2 years jail/(1/12/00 parole
Angeles)		violation/finish term)
12/30/1997 (CASC, Los	211 PC - Attempted	2 years jail
Angeles)	Robbery-Second Degree	

E. <u>Defendant's Immigration History</u>

Defendant has been apprehended for being in the United States illegally on at least five prior occasions. He was ordered deported on April 8, 1999, after a hearing before an immigration judge. He was most recently removed from the United States on February 9, 2004.

II

ARGUMENT

A. The Government Will Comply With All Discovery Obligations

The Government intends to continue full compliance with its discovery obligations under Brady v. Maryland, 373 U.S. 83 (1963), the Jencks Act (18 U.S.C. 3500), and Rule 16 of the Federal Rules of Criminal Procedure. To date, the Government has provided 75 pages of discovery, a DVD of Defendant's Miranda advisal and post-Miranda statement and audiotapes of the Defendant's prior deportation proceeding. The Government anticipates that all discovery issues can be resolved amicably and informally, and has addressed Defendant's specific requests below.

(1) Defendant's Statements

The Government recognizes its obligation under Rules 16(a)(1)(A) and 16(a)(1)(B) to provide to Defendant the substance of Defendant's oral statements and Defendant's written statements. The Government has produced all of the Defendant's statements that are known to the undersigned Assistant U.S. Attorney at this date. If the Government discovers additional oral or written statements that require disclosure under Rule 16(a)(1)(A) or Rule 16(a)(1)(B), such statements will be provided to Defendant.

The Government has no objection to the preservation of the handwritten notes taken by any of the agents and officers. See United States v. Harris, 543 F.2d 1247, 1253 (9th Cir. 1976) (agents must preserve their original notes of interviews of an accused or prospective government witnesses). However, the Government objects to providing Defendant with a copy of the rough notes at this time. Rule 16(a)(1)(A) does not require disclosure of the rough notes where the content of those notes have been accurately reflected in a type-written report. See United States v. Brown, 303 F.3d 582, 590 (5th Cir. 2002); United States v. Coe, 220 F.3d 573, 583 (7th Cir. 2000) (Rule 16(a)(1)(A) does not require disclosure of an agent's notes even where there are "minor discrepancies" between the notes and a report). The Government is not required to produce rough notes pursuant to the Jencks Act, because the notes do not constitute "statements" (as defined 18 U.S.C. § 3500(e)) unless

Unless otherwise noted, all references to "Rules" refers to the Federal Rules of Criminal Procedure.

the notes (1) comprise both a substantially verbatim narrative of a witness' assertion, and (2) have been approved or adopted by the witness. <u>United States v. Spencer</u>, 618 F.2d 605, 606-07 (9th Cir. 1980). The rough notes in this case do not constitute "statements" in accordance with the Jencks Act. <u>See United States v. Ramirez</u>, 954 F.2d 1035, 1038-39 (5th Cir. 1992) (rough notes were not statements under the Jencks Act where notes were scattered and all the information contained in the notes was available in other forms). The notes are not <u>Brady</u> material because the notes do not present any material exculpatory information, or any evidence favorable to Defendant that is material to guilt or punishment. <u>Brown</u>, 303 F.3d at 595-96 (rough notes were not <u>Brady</u> material because the notes were neither favorable to the defense nor material to defendant's guilt or punishment); <u>United States v. Ramos</u>, 27 F.3d 65, 71 (3d Cir. 1994) (mere speculation that agents' rough notes contained <u>Brady</u> evidence was insufficient). If, during a future evidentiary hearing, certain rough notes become discoverable under Rule 16, the Jencks Act, or <u>Brady</u>, the notes in question will be provided to Defendant.

(2) Reports of Scientific Tests or Examinations

The United States is not aware of any scientific tests or examinations at this time but, if any scientific tests or examinations were conducted or are conducted in the future, the United States will provide Defendant with any reports of any such tests or examinations in accordance with Rule 16(a)(1)(F).

(3) **Brady Material**

The Government has and will continue to perform its duty under <u>Brady</u> to disclose material exculpatory information or evidence favorable to Defendant when such evidence is material to guilt or punishment. The Government recognizes that its obligation under <u>Brady</u> covers not only exculpatory evidence, but also evidence that could be used to impeach witnesses who testify on behalf of the United States. <u>See Giglio v. United States</u>, 405 U.S. 150, 154 (1972); <u>United States v. Bagley</u>, 473 U.S. 667, 676-77 (1985). This obligation also extends to evidence that was not requested by the defense. <u>Bagley</u>, 473 U.S. at 682; <u>United States v. Agurs</u>, 427 U.S. 97, 107-10 (1976). "Evidence is material, and must be disclosed (pursuant to <u>Brady</u>), 'if there is a reasonable probability that, had the evidence been disclosed to the defense, the result of the proceeding would

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have been different." <u>Carriger v. Stewart</u>, 132 F.3d 463, 479 (9th Cir. 1997) (<u>en banc</u>). The final determination of materiality is based on the "suppressed evidence considered collectively, not item by item." <u>Kyles v. Whitley</u>, 514 U.S. 419, 436-37 (1995).

Brady does not, however, mandate that the Government open all of its files for discovery. See United States v. Henke, 222 F.3d 633, 642-44 (9th Cir. 2000)(per curiam). Under Brady, the Government is not required to provide: (1) neutral, irrelevant, speculative, or inculpatory evidence (see United States v. Smith, 282 F.3d 758, 770 (9th Cir. 2002)); (2) evidence available to the defendant from other sources (see United States v. Bracy, 67 F.3d 1421, 1428-29 (9th Cir. 1995)); (3) evidence that the defendant already possesses (see United States v. Mikaelian, 168 F.3d 380, 389-90 (9th Cir. 1999)), amended by 180 F.3d 1091 (9th Cir. 1999)); or (4) evidence that the undersigned Assistant U.S. Attorney could not reasonably be imputed to have knowledge or control over. (see United States v. Hanson, 262 F.3d 1217, 1234-35 (11th Cir. 2001)). Nor does Brady require the Government "to create exculpatory evidence that does not exist," United States v. Sukumolahan, 610 F.2d 685, 687 (9th Cir. 1980), but only requires that the Government "supply a defendant with exculpatory information of which it is aware." United States v. Flores, 540 F.2d 432, 438 (9th Cir. 1976).

(4) Sentencing Information

The United States is not obligated under <u>Brady v. Maryland</u>, 373 U.S. 83 (1963), and its progeny to furnish a defendant with information which he already knows. <u>United States v. Taylor</u>, 802 F.2d 1108, 1118 n.5 (9th Cir. 1986). <u>Brady</u> is a rule of disclosure, and therefore, there can be no violation of <u>Brady</u> if the evidence is already known to the defendant. In such case, the United States has not suppressed the evidence and consequently has no <u>Brady</u> obligation. <u>See United States v. Gaggi</u>, 811 F.2d 47, 59 (2d Cir. 1987).

But even assuming Defendant does not already possess the information about factors which might affect his guideline range, the United States would not be required to provide information bearing on Defendant's mitigation of punishment until after Defendant's conviction or plea of guilty and prior to his sentencing date. See United States v. Juvenile Male, 864 F.2d 641, 647 (9th Cir.

1988) ("No [Brady] violation occurs if the evidence is disclosed to the defendant at a time when the disclosure remains in value."). Accordingly, Defendant's demand for this information is premature.

(5) Defendant's Prior Record

The United States has already provided Defendant with a copy of any criminal record in accordance with Federal Rule of Criminal Procedure 16(a)(1)(B).

(6) Proposed 404(b) and 609 Evidence

Should the United States seek to introduce any similar act evidence pursuant to Federal Rules of Evidence 404(b) or 609(b), the United States will provide Defendant with notice of its proposed use of such evidence and information about such bad act at or before the time the United States' trial memorandum is filed. The United States reserves the right to introduce as prior act evidence any conviction, arrest or prior act that is disclosed to the defense in discovery.

(7) Evidence Seized

The United States has complied and will continue to comply with Rule 16(a)(1)(C) in allowing Defendant an opportunity, upon reasonable notice, to examine, copy and inspect physical evidence which is within the possession, custody or control of the United States, and which is material to the preparation of Defendant's defense or are intended for use by the United States as evidence in chief at trial, or were obtained from or belong to Defendant, including photographs.

The United States, however, need not produce rebuttal evidence in advance of trial. <u>United</u>
<u>States v. Givens</u>, 767 F.2d 574, 584 (9th Cir. 1984), <u>cert. denied</u>, 474 U.S. 953 (1985).

(8) Request for Preservation of Evidence

After issuance of a an order from the Court, the United States will preserve all evidence to which Defendant is entitled to pursuant to the relevant discovery rules. However, the United States objects to Defendant's blanket request to preserve all physical evidence.

The United States has complied and will continue to comply with Rule 16(a)(1)(C) in allowing Defendant an opportunity, upon reasonable notice, to examine, copy and inspect physical evidence which is within his possession, custody or control of the United States, and which is material to the preparation of Defendant's defense or are intended for use by the United States as evidence in chief at trial, or were obtained from or belong to Defendant, including photographs. The

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United States has made the evidence available to Defendant and Defendant's investigators and will comply with any request for inspection.

(9)**Tangible Objects**

The Government has complied and will continue to comply with Rule 16(a)(1)(E) in allowing Defendant an opportunity, upon reasonable notice, to examine, inspect, and copy all tangible objects that are within its possession, custody, or control, and that are either material to the preparation of Defendant's defense, or are intended for use by the Government as evidence during its case-in-chief at trial, or were obtained from or belong to Defendant. The Government need not, however, produce rebuttal evidence in advance of trial. <u>United States v. Givens</u>, 767 F.2d 574, 584 (9th Cir. 1984).

(10)**Bias of government witnesses**

The Government recognizes its obligation under <u>Brady</u> and <u>Giglio</u> to provide evidence that could be used to impeach Government witnesses including material information regarding demonstrable bias or motive to lie.

(11) Impeachment Evidence

The United States will turn over evidence within its possession which could be used to properly impeach a witness who has been called to testify.

(12)**Investigation of witnesses**

Defendant is not entitled to any evidence that a prospective witness is under criminal investigation by federal, state, or local authorities. As previously discussed, however, the Government recognizes its obligation under Brady and Giglio to provide material evidence that could be used to impeach Government witnesses.

Evidence regarding ability to testify (13)

The Government recognizes its obligation under <u>Brady</u> and <u>Giglio</u> to provide material evidence that could be used to impeach Government witnesses including material information related to perception, recollection, or ability to communicate. The Government strenuously objects to providing any evidence that a witness has ever used narcotics or other controlled substance, or Giglio, Henthorn, or any other Constitutional or statutory disclosure provision.

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(14) Witness Addresses

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The Government has already provided Defendant with the reports containing the names of the agents involved in the apprehension and interviews of Defendant. A defendant in a non-capital case, however, has no right to discover the identity of prospective Government witnesses prior to trial. See Weatherford v. Bursey, 429 U.S., 545, 559 (1977); United States v. Dishner, 974 F.2d 1502, 1522 (9th Cir 1992)(citing United States v. Steel, 759 F.2d 706, 709 (9th Cir. 1985)); United States v. Hicks, 103 F.23d 837, 841 (9th Cir. 1996). Nevertheless, in its trial memorandum, the Government will provide Defendant with a list of all witnesses whom it intends to call in its case-inchief, although delivery of such a witness list is not required. See United States v. Discher, 960 F.2d 870 (9th Cir. 1992); <u>United States v. Mills</u>, 810 F.2d 907, 910 (9th Cir. 1987).

has ever been an alcoholic because such information is not discoverable under Rule 16, Brady,

The Government objects to Defendant's request that the Government provide a list of every witness to the crimes charged who will not be called as a Government witness. "There is no statutory basis for granting such broad requests," and such a request "far exceed[s] the parameters of Rule 16(a)(1)(c)" United States v. Yung, 97 F. Supp. 2d 24, 36 (D. D.C. 2000) (quoting United States v. Boffa, 513 F. Supp. 444, 502 (D. Del. 1980)).

Name of Witnesses Favorable to Defendant

The Government is not aware of the names of any witnesses favorable to the Defendant's case. If the Government discovers any witnesses favorable to Defendant, the names of such witnesses will be promptly provided to Defendant

(16) Statements Relevant to the Defense

The United States will comply with all of its discovery obligations. However, "the prosecution does not have a constitutional duty to disclose every bit of information that might affect the jury's decision; it need only disclose information favorable to the defense that meets the appropriate standard of materiality." Gardner, 611 F.2d at 774-775 (citation omitted).

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(17) <u>Jencks Act</u>

The Jencks Act, 18 U.S.C. § 3500 (which tracks Rule 26.2), requires that, after a Government witness has testified on direct examination, the Government must give the Defendant any "statement" (as defined by the Jencks Act) in the Government's possession that was made by the witness relating to the subject matter to which the witness testified. 18 U.S.C. § 3500(b). While the Government is only required to produce all Jencks Act material <u>after</u> the witness testifies, the Government plans to provide most (if not all) Jencks Act material well in advance of trial to avoid any needless delays.

(18) Giglio Information

As stated previously, the United States will comply with its obligations pursuant to <u>Brady v. Maryland</u>, 373 U.S. 83 (1963), the Jencks Act, <u>United States v. Henthorn</u>, 931 F.2d 29 (9th Cir. 1991), and Giglio v. United States, 405 U.S. 150 (1972).

(19) Personnel Records of Government Officers

The United States objects to this request. Defendant has not shown how any personnel records of the arresting officers are relevant to this case. Defense counsel has no constitutional right to conduct a search of agency files to argue relevance. See Pennsylvania v. Ritchie, 480 U.S. 39, 59-60 (1987) (citing Weatherford v. Bursey, 429 U.S. 545, 559 (1977) ("There is no general constitutional right to discovery in a criminal case, and Brady did not create one")). Thus, the United States will review these records for impeachment information and fully comply with its Henthorn obligations, but will not provide these records as Rule 16 discovery.

(20) Government Examination of Law Enforcement Personnel Files

The Government will comply with <u>United States v. Henthorn</u>, 931 F.2d 29 (9th Cir. 1991) and request that all federal agencies involved in the criminal investigation and prosecution review the personnel files of the federal law enforcement inspectors, officers, and special agents whom the Government intends to call at trial and disclose information favorable to the defense that meets the appropriate standard of materiality. <u>United States v. Booth</u>, 309 F.3d 566, 574 (9th Cir. 2002)(<u>citing United States v. Jennings</u>, 960 F.2d 1488, 1489 (9th Cir. 1992). If the undersigned Assistant U.S.

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27 28 Attorney is uncertain whether certain incriminating information in the personnel files is "material," the information will be submitted to the Court for an in camera inspection and review.

(21)**Notice and Written Summary of Any Expert Testimony**

The Government will comply with Rule 16(a)(1)(G) and provide Defendant with a written summary of any expert testimony that the Government intends to use under Rules 702, 703, or 705 of the Federal Rules of Evidence during its case-in-chief at trial. That summary will include the expert witnesses' qualifications as well as the expert witnesses opinions, and the bases and reasons for those opinions.

В. The Government Does Not Oppose A Further Motion Hearing Provided That Any Additional Motions Are Based on New Evidence

The Government does not object to the granting of leave to file further motions as long as the order applies equally to both parties and any additional defense motions are based on newly discovered evidence or discovery provided by the Government subsequent to the motion filed in this case.

Ш

GOVERNMENT'S MOTION TO COMPEL RECIPROCAL DISCOVERY

A. All Evidence That Defendant Intends To Introduce In His Case-In-Chief

Since the Government will honor Defendant's request for disclosure under Rule 16(a)(1)(E), the Government is entitled to reciprocal discovery under Rule 16(b)(1). Pursuant to Rule 16(b)(1), requests that Defendant permit the Government to inspect, copy and photograph any and all books, papers, documents, photographs, tangible objects, or make copies or portions thereof, which are within the possession, custody, or control of Defendant and which Defendant intends to introduce as evidence in his case-in-chief at trial.

The Government further requests that it be permitted to inspect and copy or photograph any results or reports of physical or mental examinations and of scientific tests or experiments made in connection with this case, which are in the possession and control of Defendant, which he intends to introduce as evidence-in-chief at the trial, or which were prepared by a witness whom Defendant intends to call as a witness. The Government also requests that the Court make

such order as it deems necessary under Rules 16(d)(1) and (2) to ensure that the Government receives the reciprocal discovery to which it is entitled.

B. <u>Reciprocal Jencks – Statements By Defense Witnesses</u>

Rule 26.2 provides for the reciprocal production of Jencks material. Rule 26.2 requires production of the prior statements of all witnesses, except a statement made by Defendant. The time frame established by Rule 26.2 requires the statements to be provided to the Government after the witness has testified. However, to expedite trial proceedings, the Government hereby requests that Defendant be ordered to provide all prior statements of defense witnesses by a reasonable date before trial to be set by the Court. Such an order should include any form in which these statements are memorialized, including but not limited to, tape recordings, handwritten or typed notes and reports.

IV

GOVERNMENT'S MOTION FOR FINGERPRINT EXEMPLARS

The Government requests that Defendant be ordered to make himself available for fingerprint experts at a time and place convenient to the Government's fingerprint expert. See United States v. Kloepper, 725 F. Supp. 638, 640 (D. Mass. 1989) (the District Court has "inherent authority" to order a defendant to provide handwriting exemplars, fingerprints, and palmprints).

Because the fingerprint exemplars are sought for the sole purpose of proving Defendant's identity, rather for than investigatory purposes, the Fourth Amendment is not implicated. See United States v. Garcia-Beltran, 389 F.3d 864, 866-68 (9th Cir. 2004) (citing United States v. Parga-Rosas, 238 F.3d 1209, 1215 (9th Cir. 2001)). Furthermore, an order requiring Defendant to provide fingerprint exemplars does not infringe on Defendant's Fifth Amendment rights. See Schmerber v. California, 384 U.S. 757, 770-71 (1966) (the Fifth Amendment privilege "offers no protection against compulsion to submit to fingerprinting"); Williams v. Schario, 93 F.3d 527, 529 (8th Cir. 1996) (the taking of fingerprints in the absence of Miranda warnings did not constitute testimonial incrimination as proscribed by the Fifth Amendment).

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2	CONCLUSION	
3	For the foregoing reasons, the United States requests that the Court deny Defendant's motion	
4	and grant the United States' motion for reciprocal discovery and for an order compelling Defendan	
5	to provide fingerprint exemplars.	
6	Dated: February 7, 2008	
7	Respectfully submitted,	
8 9	KAREN P. HEWITT United States Attorney	
10		
11	s/Lawrence A. Casper	
12	LAWRENCE A. CASPER Assistant U.S. Attorney	
13	Assistant U.S. Attorney Attorneys for Plaintiff United States of America	
14	Email: lawrence.casper@usdoj.gov	
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Case 3:07-cr-03346-H Document 17 Filed 02/07/2008 Page 13 of 14

1	UNITED STATES DISTRICT COURT			
2	SOUTHERN DISTRICT OF CALIFORNIA			
3	UNITED STATES OF AMERICA,) Criminal Case No. 07CR3346-H			
4	Plaintiff,			
5	v. CERTIFICATE OF SERVICE			
6	JOSE LUIS LOPEZ-LOPEZ,			
7	Defendant.			
8	IT IS HEREBY CERTIFIED THAT:			
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11				
12	following parties by electronically filing the foregoing with the Clerk of the District Court using its ECF System, which electronically notifies them.			
13	1) Knut S. Johnson, Esq.			
14	I hereby certify that I have caused to be mailed the foregoing, by the United States Posta Service, to the following non-ECF participants on this case:			
15	None			
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17	the last known address, at which place there is delivery service of mail from the United States Postal Service.			
18	I declare under penalty of perjury that the foregoing is true and correct.			
19	Executed on February 7, 2008.			
20	s/Lawrence A. Casper			

s/Lawrence A. Casper

LAWRENCE A. CASPER